International Platform of Registered Systematic Review and Meta-analysis Protocols

INPLASY

INPLASY202430084

doi: 10.37766/inplasy2024.3.0084

Received: 20 March 2024

Published: 20 March 2024

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Corporate Governance and Social Responsibility in HEIs: A Systematic Review

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ADMINISTRATIVE INFORMATION

Support - Not applicable.

Review Stage at time of this submission - Piloting of the study selection process.

Conflicts of interest - None declared.

INPLASY registration number: INPLASY202430084

Amendments - This protocol was registered with the International Platform of Registered Systematic Review and Meta-Analysis Protocols (INPLASY) on 20 March 2024 and was last updated on 20 March 2024.

INTRODUCTION

Review question / Objective Research question: What are the dimensions of corporate governance and social responsibility related to HEIs and how are these constructs interconnected for the valorization of human capital and sustainability of HEIs?

The systematic review aims to:

(i) Provide a bibliographic overview of CG and SR in HEIs from the perspective of the different internal stakeholders of HEIs;

(ii) Explore the dimensions of corporate governance and social responsibility related to HEIs and verify how these constructs are interconnected;

(iii) Contribute to the identification of better management strategies that can guide the managers of public and private HEIs as well as the supervisory bodies.

Rationale A better understanding of the relationship between CG and SR can generate new ideas for reflection and the adoption of better HR

and sustainability strategies in HEIs. In addition, this literature review aims to provide clues and reflection on the subject in relation to public and private HEIs that should analyze their governance and the way they are managed, as this is the main basis for the social and economic development of society.

Governance in HEIs refers to the way decisions are made at institutional level and must comply with administrative rules and principles that guide corporate governance, such as transparency, fairness, accountability and social responsibility. Its aim is to define strategies to increase the quality and diversity of the services provided in order to compete for funding and attract students.

On the other hand, SR in HEIs is related to ethical and moral issues linked to teaching, research, the transmission of new knowledge, the promotion of sustainability, and responding to stakeholders. As it is a concept with diverse cultural contexts, there is no standard model of social responsibility for HEIs, but there are common objectives and principles that organizations should adopt, such as social justice, equity and citizenship.

Condition being studied Not applicable.

METHODS

Search strategy On November 7, 2022, we carried out an extensive literature retrieval in the two bibliographic databases (ISI Web of Science (WoS) and SCOPUS). The search terms were developed on the basis of four concepts: (1) corporate governance, (2) social responsibility, (3) quality and (4) higher education institutions, OR HEIs.

Search in the SCOPUS database:

Keywords: "Corporate Governance"; "Social Responsibility"; "Quality"; "Higher Education Institutions"; "HEIs". There were no limitations in terms of language, stage of publication, type of source or subject area.

We used the WoS database:

Core Collection (all fields). Search: All fields: "Corporate Governance"; "Social Responsibility"; "Quality"; "Higher Education Institutions"; "HEIs".

Participant or population Managers/Employees/ Students.

Intervention None.

Comparator Not applicable.

Study designs to be included Qualitative using bibliometric analysis and content analysis.

Eligibility criteria Three investigators decided the inclusion/exclusion criteria. The studies included were: (1) all articles that focus on the topic related to CG RS in HEIs; (2) all articles with direct and/or indirect identification in the HEIs. Excluded studies: (1) all articles that do not focus on HEIs; (2) doctoral theses and master's dissertations.

Information sources Electronic databases for bibliographic research: SCOPUS, ISI Web of Science (WoS).

Main outcome(s) Understanding the practices that influence the relationship between corporate governance and social responsibility, as well as the characteristics of both in public and private higher education institutions in the perception of internal stakeholders, will help to improve the management and HR strategies of higher education institutions and contribute to their sustainability.

Additional outcome(s) Not applicable.

Quality assessment / Risk of bias analysis To assess the quality of the data, the Law et al. (1998) matrix will be used for quantitative studies and the Letts et al. (2007) matrix for qualitative articles. The AMSTAR 2 matrix will be used to analyze the quality of the RSLs.

The removal of duplicate literature was checked manually by two investigators. Next, a member carried out a three-step screening phase: (1) screening the title based on the exclusion criteria; (2) abstract screening and (3) full text screening based on eligibility criteria. A second investigator confirmed the excluded articles. The researchers discussed inclusion and exclusion discrepancies and the Excel database.

In the next phase, researchers will analyze the full articles according to a content analysis matrix defined by the research team. Reasons for any exclusions are then recorded after reviewing the full text (full articles that, even after initial screening, do not focus on higher education institutions). The process is iterative to ensure that all relevant studies are included. A pilot test was implemented, with a purposive sample, to ensure consistency between reviewers. The research results and the study selection process are reported in the final systematic review and the PRISMA Flow Diagram is presented. After the entire process, all data is recorded and exported in Excel format.

Strategy of data synthesis A content analysis will be carried out to obtain data and evidence from the analyzed literature, the preparation of Tables and Figures will present the data extracted for each extraction category, followed by a detailed qualitative descriptive analysis, which explains the number of studies that focus on in GC and RS in HEIs from the perspective of internal stakeholders, the predominant themes, the authors involved, the main problems encountered, the geographic location, the sources of information, the processing of data and the form of investigation, in addition to bibliometric analysis through using the VOSviewer software.

Subgroup analysis Not applicable.

Sensitivity analysis We will use PRISMA 2020, a guiding matrix for creating a systematic review of quality literature.

Language restriction None.

Country(ies) involved Portugal.

Keywords Corporate governance, social responsibility, quality, higher education institutions, HEIs.

Dissemination plans Publication in peer-reviewed journals and conferences.

Contributions of each author

Author 1 - Sandra Marina Lopes Daniel Co-first author of the protocol who drafted the protocol and led and provided feedback for the screenings and development of the research question, search and search strategy, eligibility criteria, draft protocol, data extraction and presentation plans, and formal screening of the research results, will draft the.

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Author 2 - Isabel C. P. Marques Co-first author of the protocol who led the refinement and modification of the research strategy, eligibility criteria, conducted the pilot test and the formal screening of the research results in relation to the election criteria, will write the manuscript.

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Author 3 - Alba Katarine Marques de Carvalho Cofirst author of the protocol who provided feedback in principle and supervised the revisions, will write the manuscript.

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